Please type a plus sign (+) inside this box → +	ĺ
---	---

PTO/SB/05 (4/98)
Please type a plus sign (+) inside this box + + Approved for use through 09/30/2000 OMB 0651-0032
Patent and Trademark Office. U S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. SP05US

## UTILITY PATENT APPLICATION **TRANSMITTAL**

First Inventor or Application Identifier Paul Lapstun A Printer Controller for a High-Speed Printer

(Only for new no	onprovisional applications under 37 C.F.R. § 1.53(b)) Expres	s Mail Label No. EJ191607475US						
	PPLICATION ELEMENTS apter 600 concerning utility patent application contents.	Assistant Commissioner for Patents  ADDRESS TO: Box Patent Application  Washington, DC 20231						
	ee Transmittal Form (e.g., PTO/SB/17)	5. Microfiche Computer Program (Appendix)						
2. X Spe	ecification [Total Pages 22]  gerred arrangement set forth below) escriptive title of the Invention	Nucleotide and/or Amino Acid Sequence Submission     (if applicable, all necessary)     a. Computer Readable Copy						
- St	ross References to Related Applications tatement Regarding Fed sponsored R & D eference to Microfiche Appendix	b. Paper Copy (identical to computer copy) c. Statement verifying identity of above copies						
	ackground of the Invention	ACCOMPANYING APPLICATION PARTS						
- Br - Dr - Cl - Al 3. X Dra 4. Oath or C a. \( \sum_{\text{the total}}\) b. \( \sum_{\text{the total}}\)	rief Summary of the Invention rief Description of the Drawings (if filed) etailed Description laim(s) betract of the Disclosure awing(s) (35 U.S.C. 113) [Total Sheets 12]  Declaration [Total Pages 3]  X Newly executed (original or copy)  Copy from a prior application (37 C.F.R. § 1.63(c (for continuation/divisional with Box 16 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting Inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).  INTERNS 1 & 13: IN ORDER TO BE ENTITIED TO PAY SMALL ENTITY LL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT- DINA PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).	7. X Assignment Papers (cover sheet & document(s)) 8. 37 C.F.R.§3.73(b) Statement Power of (when there is an assignee) Attorney 9. English Translation Document (if applicable) 10. Information Disclosure Copies of IDS Statement (IDS)/PTO-1449 Citations 11. Preliminary Amendment 12. Return Receipt Postcard (MPEP 503) (Should be specifically itemized)						
Prior app For CONTINU under Box 4b	ontinuation Divisional Continuation-in-part (Continuation information Examiner  JATION or DIVISIONAL APPS only: The entire disclosure of its considered a part of the disclosure of the accompany	upply the requisite information below and in a preliminary amendment.   P) of prior application No						
.5.0.0.00	17. CORRESPONDE							
☐ Custom	ner Number or Bar Code Label (Insert Customer No. or Atta	or X Correspondence address below						
Name	Kia Silverbrook							
	Silverbrook Research Pty Ltd							
Address	393 Darling Street							
City	Balmain State	NSW Zip Code 2041						
Country	AUSTRALIA Telephone	61-2-9818-6633 Fax 61-2-9818-6711						
Name (F	PrintType) Kia Silverbrook	Registration No. (Attorney/Agent)						
Signatur		Date February 14, 2000						
Digitatur	Statements Thus form to directed to take 0.3 hours to some	lote. Time will year depending upon the needs of the individual case. Any						

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO. Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231



PTO/SB/10 (1-99)
Approved for use through 09/30/2000 OMB 0651-0031
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

(37 CFR 1.9(f) & 1.27(c))SMALL BUSINESS CONCERN	Docket Number (Optional) SP05US
Applicant, Patentee, or Identifier: Silverbrook Research Pty Ltd	
Application or Patent No.:	
FiledorIssued: February 14, 2000 Title: A Printer Controller for a High-Speed Printer	
I hereby state that I am	n identified below:
NAME OF SMALL BUSINESS CONCERN_Silverbrook Research Pty. Ltd.	
ADDRESS OF SMALL BUSINESS CONCERN_393 Darling Street, Balmain, NSV	V 2041, Australia
I hereby state that the above identified small business concern qualifies as a small but 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Tradent to size standards for a small business concern may be directed to: Small Business Administ 409 Third Street, SW, Washington, DC 20416.	nark Office. Questions related
I hereby state that rights under contract or law have been conveyed to and remain wit identified above with regard to the invention described in:	h the small business concern
the specification filed herewith with title as listed above. the application identified above. the patent identified above.	
If the rights held by the above identified small business concern are not exclusive, organization having rights in the invention must file separate statements as to their status a to the invention are held by any person, other than the inventor, who would not qualify as a 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).	s small entities, and no rights nindependent inventor under
Each person, concern, or organization having any rights in the invention is listed belo   IX no such person, concern, or organization exists.  ☐ each such person, concern, or organization is listed below.	w:
Separate statements are required from each named person, concern or organization stating their status as small entities. (37 CFR 1.27)	having rights to the invention
I acknowledge the duty to file, in this application or patent, notification of any change entitlement to small entity status prior to paying, or at the time of paying, the earliest of the fee due after the date on which status as a small entity is no longer appropriate. (37 CFR of the context of the conte	issue fee or any maintenance
NAME OF PERSON SIGNINGKia Silverbrook	
TITLE OF PERSON IF OTHER THAN OWNER	
ADDRESS OF PERSON SIGNING 393 Darling Street, Balmain, NSW 2041, Au	stralia
SIGNATURE DATE	February 14, 2000

Burden Hour Statement on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents, Washington, DC 20231

10

15

20

25

30

## A PRINTER CONTROLLER FOR A HIGH-SPEED PRINTER

### FIELD OF THE INVENTION

This invention relates to a printer. More particularly, the invention relates to a printer controller for a printer and to a method of printing a description of a page under control of the printer controller.

## **BACKGROUND TO THE INVENTION**

The printer of the invention is a high-speed printer intended for use in high-volume office environments where printing speeds of up to 120 pages per minute are required. In order to achieve the necessary throughput, document transmission from a host device, such as a desktop computer, and document rasterization are decoupled, with the rasterization process occurring in the printer itself. Further, because of the high resolution of the printheads, pages must be printed at a constant speed to avoid creating discontinuities. To effect this, document rasterization is, in turn, decoupled from document printing. Once again, the rasterization occurs in the printer and a page is not printed until it is fully rasterized.

## **SUMMARY OF THE INVENTION**

According to a first aspect of the invention, there is provided a printer controller for a printer, the controller including

a processing means for receiving incoming data relating to a description of a page to be printed, said descriptions containing color data and black text data;

a memory means in which the data are stored;

a rasterizing and compressing means for rasterizing and compressing the data, the compression of the color data and the black text data being effected separately from each other; and

a printhead controller for receiving, decompressing and processing said data for printing via a printhead under control of the printhead controller.

The printhead may be a pagewidth printhead. In this specification, unless the context clearly indicates otherwise, the term "pagewidth printhead" is to be understood as a printhead having a printing zone that prints one line at a time on a page, the line being parallel either to a longer edge or a shorter edge of the page. The line is printed as a whole as the page moves past the printhead and the printhead is stationary, ie it does not raster or traverse the page.

The rasterizing and compressing means may include at least one raster image processor (RIP) digital signal processor (DSP). The, or each, RIP DSP communicates with the processing means via the data communications means, the processing means feeding and synchronising the, or each, RIP DSP and the printhead controller.

The, or each, RIP DSP communicates with the hard disk via the processing means and the data communications means for storing rasterized and compressed data in the hard disk prior to transmission of the compressed data to the printhead controller.

The printer controller may include more than one RIP DSP. Additional units may be included in the form of field-installable, plug-in modules.

The memory means may be a hard disk which communicates with the processing means via a disk controller and a data communications means.

According to a second aspect of the invention, there is provided in a printer controller, a method of printing a description of a page which includes the steps of

receiving said data relating to a description of a page to be printed from a host processor;

storing the received data in a memory means;

rasterizing and compressing the received data to create a compressed page format, the compression of the color data and the black text data being effected separately from each other;

feeding the compressed page format data to a printhead controller; and expanding the compressed page format data in the printhead controller prior to printing of the page.

The method may include, prior to feeding the compressed page format data to the printhead controller, storing the compressed page format data in the memory means, the memory means being the hard disk of the printer controller.

Further, the method may include, as the data are expanded, feeding the expanded data to at least one printhead controlled by the printhead controller.

Instead, when the printhead controller receives the compressed page format data, the method may include storing the data in a local memory means of the printhead controller.

15

5

10

20

## BRIEF DESCRIPTION OF THE DRAWINGS

The invention is now described by way of example with reference to the accompanying drawings in which,

- Figure 1 shows a plan view of a printer, in accordance with the invention;
- Figure 2 shows a front view of the printer;
  - Figure 3 shows a side view of the printer;
  - Figure 4 shows a schematic, sectional front view of the printer;
  - Figure 5 shows a schematic, sectional plan view of the printer;
  - Figure 6 shows, on an enlarged scale, a schematic, sectional front view of part of the printer;
    - Figure 7 shows an enlarged front view of a central section of the printer;
  - Figure 8 shows a three-dimensional view of a print engine arrangement of the printer;
- Figure 9a shows a three-dimensional top view of an ink cartridge of the printer;
  - Figure 9b shows a three-dimensional bottom view of the ink cartridge;
  - Figure 10 shows a diagrammatic representation of document data flow in the printer;
    - Figure 11 shows a block diagram of the printer controller architecture;
- Figure 12 shows a block diagram of one embodiment of the print engine controller architecture; and
  - Figure 13 shows a block diagram of another embodiment of the print engine controller architecture.

10

15

20

25

## **DETAILED DESCRIPTION OF THE DRAWINGS**

#### 1 S-PRINT OVERVIEW

The invention will be described with reference to a high-speed duplex network color printer intended for high-volume office use. It features 2000-sheet motorized paper trays, 120 page-per-minute operation, and 1600 dpi photographic-quality output. We refer to the printer as the "S-print" and we shall refer to it as such or as the printer below.

With 20 times the speed of the best network color laser printers, and 4 times the speed of the best network monochrome laser printers, S-print effectively targets the \$40 billion desktop laser printer market. With its high performance and photographic-quality output, it also competes against offset printing for print runs smaller than 5000 copies.

S-print accommodates A4/Letter sized media and, with a tray adaptor, A3/Tabloid sized media. It achieves simultaneous high quality and performance using full-color page-width 1600 dpi microelectromechanical inkjet (Memjet) printheads.

S-print uses an embedded DSP-based raster image processor (RIP) to rasterize Postscript and PCL page descriptions at high speed. The standard RIP uses a single DSP, but up to three additional DSP modules can be plugged in to increase performance.

The RIP compresses and stores the rasterized page images on an internal high-capacity hard disk. While simple page descriptions are rasterized at the full 120 ppm printing rate, more complex page descriptions may take longer. Pre-rasterized documents retrieved from the internal hard disk are always printed at the full 120 ppm printing rate. Any document can be "printed" to the hard disk, i.e. rendered and stored on the hard disk, for later high-speed retrieval.

Users can walk up to an S-print, select locally-stored documents on its color LCD, and print them immediately, without ever going near a workstation.

Documents printed in this way always print at the full 120 ppm rate. The standard 14GB internal hard disk stores over 6000 image-intensive pages. Because of its walk-up capability and high speed, S-print is likely to displace many uses of short-run offset printing.

5

S-print uses duplexed printheads for simultaneous double-sided printing. During the pilot phase of Memjet printhead manufacturing when the printhead defect density is still potentially high, each printhead is replicated to achieve 2:1 nozzle redundancy. This allows factory-detected defective nozzles to be bypassed, and so maximises printhead yield. A pair of custom print engine controllers expand, dither and print page images to the duplexed printheads in real time.

10

Apart from custom print engine controllers and Memjet printheads, S-print is built using standard off-the-shelf electronic components.

### 2 PRINTER MECHANICS

15

S-print is designated generally by the reference numeral 10 and comprises a housing 12 having a central section 14 (Figures 1 and 2). A hinged tray housing 16 projects from each side of the central section 14 (Figure 3).

Ink cartridges 18, which will be described in greater detail below, are mounted on top of the central section 14 to be readily accessible.

20

A front face 20 of the central section 14 houses a display 22. The display 22, which will be described in greater detail below with reference to Figure 7 of the drawings, is a full color LCD user interface.

Referring now to Figure 4 of the drawings, a schematic front view of Sprint 10 is shown.

25

The housing 12 is constructed around a box chassis 24. Print engines 26 are centrally located in the central section 12. The print engines 26 will be described in greater detail below with reference to Figure 6 if the drawings.

On either side of the central section 14, and projecting outwardly therefrom is one of the paper tray housings 16. Each paper tray housing 16 has a bottom or side hinged door 28. A platen 30 is located in each paper tray housing 16 for supporting a load of papers. An operatively inner end of each platen 30 has a guide roller 32 which is received in a vertically extending channel 34 for guiding vertical movement of the platen 30. Each platen 30 is driven by a motor 36. The motor 36 drives a sprocket 38. A second sprocket 40 is mounted vertically below the sprocket 38. The sprockets 38 and 40 are interconnected by an endless chain 42 which drives vertical movement of the platen 30.

10

5

A first motor assembly 44 is arranged upstream of the print engines 26 for feeding print media, in the form of a sheet of paper, between the print engines 26. A second motor assembly 46 is arranged downstream of the print engines 26 for drawing the sheet of paper from the print engines 26 after printing.

15

The platens 30 rise and descend according to the volume of paper in the input and output stacks.

A compact power supply 48 is arranged below the print engines 26 as is a 14GB hard disk drive (HDD) 50 and controlling circuitry 52.

S-print 10 prints the long edge of the paper to achieve a compact form factor and a minimised footprint.

20

As illustrated more clearly in Figure 5 of the drawings, a sheet of paper to be fed to the print engines 26 is guided by pick-up rollers 54 arranged upstream of the print engines 26 in the paper path. Spike wheel rollers 56 grip a leading edge of the paper, after printing, for guiding the printed paper to a paper tray housing 16 located downstream of the print engines 26 in the paper path. The pick up rollers 54 are driven by a motor assembly 44. Similarly, the spike wheel rollers 56, which are arranged in vertically spaced pairs, are driven by a further motor assembly 46.

Also, as illustrated in Figure 5 of the drawings, S-print 10 is a four color printer having a cyan ink cartridge 58, a magenta ink cartridge 60, a yellow ink cartridge 62 and a black ink cartridge 64. The ink cartridges 58, 60, 62 and 64 feed ink via hoses 66 to the print engines 26.

5

A molding 72 (Figure 6) to which the ink cartridges 58 to 64 are attached is hingedly secured to the remainder of the central section 14 of the housing 12 to reveal an upper part of a chassis 74 of the print engines 26. This upper part 74 can be pivoted about pivot pin 76 to enable access to be gained to an upper print engine 26.1. It is to be noted that the upper print engine 26.1 is secured to the part 74 so that, when the part 74 is pivoted, access can be gained to a lower print engine 26.2 as well as drying infrared lamp 78.

10

These infrared lamps 78 are mounted on paper guides 80 which guide a sheet of paper 82 between the print engines 26.

15

The straight paper path allows the paper 82 to be fed at high speed past printheads of the print engines 26.

The two print engines 26.1 and 26.2 are mounted together in an adjustable assembly. As described above, the upper print engine 26.1 can be pivoted upwards to allow access to paper jams and to the lower print engine 26.2 and the infrared drying lamps 78.

20

As schematically illustrated in Figure 6 of the drawings, the relevant ink cartridges 58 to 64 are snap fits on the top molding 72.

Each ink cartridge 58 to 64 comprises moldings 86 defining a reservoir 90. The reservoir 90 is, in use, in fluid flow communication with a fixed reservoir 88 defined in a molding 84 on top of the print engines 26.

25

The reservoir 90 is in communication with the fixed reservoir 88 via a passage 92. A pin 94 projects through the passage 92 and is engaged by a ball 96 of the ink cartridge 58 to 64. The ball 96 is urged into engagement with the pin 94 by means of a spring 98. The pin 94 has a collar or flange 100 at its

10

15

20

25

operatively lower end, i.e. that end within the reservoir 88. When the collar 100 is urged off its seat, ink can flow from the reservoir 90 into the reservoir 88.

The reservoir 88 serves to provide an early warning to replace the ink cartridge and makes contact with an embedded QA cartridge chip. Each reservoir 88 connects via the hoses 66 to the printheads of the print engines 26.

Referring now to Figure 7 of the drawings the front panel 20 of S-print 10 is shown in greater detail. As described above, the front panel 20 contains a color LCD interface 22. A power switch 102 is arranged below the interface 22.

A keypad 104 is also arranged on the front panel 20. The keypad 104 allows the desired number of copies to be entered. Documents to be printed locally, i.e. at the printer 10 can also be selected by an identification number by means of the keypad 104. It will be appreciated that this can be quicker than scrolling through stored documents if there are many such documents.

The LCD interface 22 includes four changeable function buttons 106 for navigating the interface 22.

A print button 108 and a stop button 110 are also arranged on the front panel 20 adjacent to the keypad 104.

Referring to Figure 8 of the drawings the print engines 26 are discussed in greater detail. As described above, an upper print engine 26.1 and a lower print engine 26.2 are provided. S-print 10 uses duplex print engines 26.1 and 26.2 for simultaneous double-sided printing.

It is to be noted that each print engine 26.1 and 26.2 uses two printheads 112 (only one of which is shown in respect of the print engine 26.1). The two printheads 112 are provided to achieve a 2:1 nozzle redundancy. This allows factory-detected defective nozzles to be bypassed and so maximises the printhead yield.

The printheads 112 print on to a transfer roller 114. The roller 114 is rotatably driven by a co-axially arranged motor 116. Ink deposited on a surface of

the roller 114 is, in turn, deposited on the paper 82 during the printing process. In addition, when the printheads 112 are inoperative, the roller 116 is urged into engagement with the printheads 112 for inhibiting evaporation of ink in reservoirs 118 in each printhead assembly 112.

5

Each print engine 26 includes a cleaning station 120. The cleaning station 120 includes a wiper 122 of a resiliently flexible, elastomeric material and a sponge 124 arranged upstream of the wiper 122 so that the sponge 124 removes ink from the transfer roller 114 before the wiper 122 wipes ink from the transfer roller 114.

10

Movement of the roller 114 into and out of engagement with the printhead assemblies 112 is controlled by a solenoid arrangement 126.

15

A three dimensional top view of one of the ink cartridges 60 is shown in Figure 9a of the drawings with a three dimensional bottom view of the cartridge 60 being shown in Figure 9b of the drawings. The cartridge 60 comprises the moldings 86 which engages the molding 84 in the central section 14 of the housing 12 of S-print 10. A QA chip 128 is shown on a bottom surface 130 of the ink cartridge 60 in Figure 9b of the drawings.

20

As shown in Figure 6 of the drawings, but not shown in Figures 9a or 9b of the drawings, each upper molding 86 houses the sprung ball 96 which is held captive against the lower molding 84 to provide a main seal to the cartridge 60. A secondary hydrophobic, elastomeric seal 132 is provided at an entry port on a lower surface 130 of the cartridge 60.

As described above, the cartridge 60 connects to the print engines 26 via the printer ink reservoir 88 by means of the pin 94.

25

Tortuous air channels 134 (Figure 9a) are provided at the top of the cartridge 60 under the color label 136. The four ink cartridges 58 to 64 are keyed by plastic protrusions to prevent any incorrect insertion or orientation of the

10

15

20

25

cartridges 58 to 64. Also, it is to be noted that the black cartridge 64 holds twice the volume of the other cartridges due to the greater use of black ink.

#### 3 MEMJET-BASED PRINTING

A Memjet printhead 112 produces 1600 dpi bi-level CMYK (Cyan, Magenta, Yellow, blacK). On low-diffusion paper, each ejected drop forms an almost perfectly circular 22.5 micron diameter dot. Dots are easily produced in isolation, allowing dispersed-dot dithering to be exploited to its fullest. Since the Memjet printhead 112 is the width of the page and operates with a constant paper velocity, the four color planes are printed in good registration, allowing accurate dot-on-dot printing. Since there is consequently no spatial interaction between color planes, the same dither matrix is used for each color plane. Dot-on-dot printing minimizes 'muddying' of midtones caused by inter-color bleed.

A page layout may contain a mixture of images, graphics and text. Continuous-tone (contone) images and graphics are reproduced using a stochastic dispersed-dot dither. Unlike a clustered-dot (or amplitude-modulated) dither, a dispersed-dot (or frequency-modulated) dither reproduces high spatial frequencies (i.e. image detail) almost to the limits of the dot resolution, while simultaneously reproducing lower spatial frequencies to their full color depth, when spatially integrated by the eye. A stochastic dither matrix is carefully designed to be free of objectionable low-frequency patterns when tiled across the image. As such its size typically exceeds the minimum size required to support a particular number of intensity levels (e.g. 16x16x8 bits for 257 intensity levels). S-print 10 uses a dither volume of size 64x64x3x8 bits. The volume provides an extra degree of freedom during the design of the dither by allowing a dot to change states multiple times through the intensity range (rather than just once as in a conventional dither matrix).

Human contrast sensitivity peaks at a spatial frequency of about 3 cycles per degree of visual field and then falls off logarithmically, decreasing by a factor of 100 beyond about 40 cycles per degree and becoming immeasurable beyond 60

10

15

20

25

cycles per degree. At a normal viewing distance of 12 inches (about 300mm), this translates roughly to 200-300 cycles per inch (cpi) on the printed page, or 400-600 samples per inch according to Nyquist's theorem.

In practice, contone resolution above about 300 ppi is of limited utility outside special applications such as medical imaging. Offset printing of magazines, for example, uses contone resolutions in the range 150 to 300 ppi. Higher resolutions contribute slightly to color error through the dither.

Black text and graphics are reproduced directly using bi-level black dots, and are therefore not antialiased (i.e. low-pass filtered) before being printed. Text is therefore supersampled beyond the perceptual limits discussed above, to produce smoother edges when spatially integrated by the eye. Text resolution up to about 1200 dpi continues to contribute to perceived text sharpness (assuming low-diffusion paper, of course).

S-print 10 uses a contone resolution of 320 ppi (i.e. 1600÷5), and a black text and graphics resolution of 1600 dpi.

#### 4 DOCUMENT DATA FLOW

Document transmission and document rasterization are decoupled to shield the user from interactions between the size and complexity of the document, and the memory capacity and RIP performance of S-print 10. This is achieved by storing each document's page description language (PDL) file on the internal hard disk 50.

Because of the high resolution of the Memjet printhead 112, each page must be printed at a constant speed to avoid creating visible artifacts. This means that the printing cannot be varied to match the input data rate. Document rasterization and document printing are therefore decoupled to ensure the printhead 112 has a constant supply of data. A page is never printed until it is fully rasterized. This is achieved by storing a compressed version of each rasterized page image on the internal hard disk 50.

This decoupling also allows the RIP to run ahead of the printer 10 when rasterizing simple pages, buying time to rasterize more complex pages.

The user indicates whether a document is to be stored permanently on the hard disk 50, printed, or both. So long as there is disk space available, the pages of transient documents are also cached on the disk 50 until printed. This is particularly efficient when multiple copies of complex documents are being printed. This so-called electronic collation also obviates the need for an external collating mechanism, since each copy of a document is printed in its entirety before the next copy.

10

5

Because contone color images are reproduced by stochastic dithering, but black text and line graphics are reproduced directly using black dots, the compressed page image format contains a separate foreground bi-level black layer and background contone color layer. The black layer is composited over the contone layer after the contone layer is dithered.

15

Figure 10 shows the flow of an S-print document from network to printed page.

20

At 320 ppi, an A4/Letter page of contone CMYK data has a size of 38MB. Using lossy contone compression algorithms such as JPEG, contone images compress with a ratio up to 10:1 without noticeable loss of quality, giving a compressed page size of 3.8MB.

At 1600 dpi, an A4/Letter page of bi-level data has a size of 30MB.

25

Coherent data such as text compresses very well. Using lossless bi-level compression algorithms such as Group 4 Facsimile, ten-point text compresses with a ratio of about 20:1, giving a compressed page size of 1.5MB.

Once dithered, a page of CMYK contone image data consists of 120MI.

Once dithered, a page of CMYK contone image data consists of 120MB of bi-level data. Using lossless bi-level compression algorithms on this data is pointless precisely because the optimal dither is stochastic – i.e. since it introduces hard-to-compress disorder.

The two-layer compressed page image format therefore exploits the relative strengths of lossy JPEG contone image compression and lossless bi-level text compression. The format is compact enough to be storage-efficient, and simple enough to allow straightforward realtime expansion during printing.

5

Since text and images normally do not overlap, the normal worst-case page image size is 3.8MB (i.e. image-only), while the normal best-case page image size is 1.5MB (i.e. text-only). The absolute worst-case page image size is 5.3MB (i.e. text over image). Assuming a third of an average page contains images, the average page image size is 2.3MB. The standard 14GB internal hard disk therefore holds over 6000 such pages.

10

## 5 PRINTER CONTROLLER ARCHITECTURE

15

The S-print 10 printer controller consists of a controlling processor 138 (Figure 11), various peripheral controllers 140, 142 and 144, a raster image processor (RIP) DSP farm 146, and duplexed page expansion processors 148. These components are discrete and communicate via a shared bus 150 and a shared 64MB memory 152.

20

25

The controlling processor 138 handles communication with the network via an Ethernet controller 140, controls the internal hard disk 50 via the SCSI controller 142 and controls the LCD 22 via the LCD controller 144. The controller 138 also controls the paper transport, handles ink cartridge authentication and ink monitoring, and feeds and synchronizes the RIP and the print engine controllers 148. It consists of a medium-performance general-purpose microprocessor. Its associated peripheral controllers include a 10/100Base-T Ethernet controller (140), a SCSI disk controller (142), and a color TFT LCD controller (144). Optional controllers include an IEEE 1394 (Firewire) controller and a USB 2.0 controller for high-speed point-to-point communication with a workstation or server.

The RIP DSP farm 146 rasterizes and compresses page descriptions to Sprint's compressed page format. The DSP farm 146 consists of between one and

10

15

20

25

four general-purpose high-performance DSPs. Each additional DSP comes as a field-installable plug-in module.

Each print engine controller 148 expands, dithers and prints page images to its associated replicated printhead 112 in real time (i.e. at 60 ppm). The duplexed print engines 26 print both sides of the page simultaneously (i.e. at 120 ppm).

The printer controller's flash memory 154 holds the software for both the processor 138 and the DSPs 146. This is copied to main memory 152 at boot time. The flash memory 154 also holds the defect lists for the two replicated printheads 112. These are copied to the print engine controllers 148 at boot time.

#### 5.1 Detailed Document Data Flow

The main processor 138 receives the document's page description language (PDL) file and stores it on the internal hard disk 50. It then runs the appropriate RIP software on the DSPs 146.

The DSPs 146 rasterize each page description and compress the rasterized page image. The main processor 138 stores each compressed page image on the hard disk 50. The simplest way to load-balance multiple DSPs 146 is to let each DSP 146 rasterize a separate page. The DSPs 146 can always be kept busy since an arbitrary number of rasterized pages can, in general, be stored on the internal hard disk 50. This strategy can lead to poor DSP utilization, however, when rasterizing short documents.

The main processor 138 passes back-to-back page images to the controllers 148 of the duplexed print engines 26. Each print engine controller 148 stores the compressed page image into its local memory, and starts the page expansion and printing pipeline. Page expansion and printing is pipelined because it is impractical to store a 120MB bi-level CMYK image in memory.

The first stage of the pipeline expands the JPEG-compressed contone CMYK layer. The second stage, in parallel with the first, expands the Group 4 Fax-compressed bi-level black layer. The third stage dithers the contone CMYK layer, and composites the bi-level black layer over the resulting bi-level CMYK layer. The fourth stage prints the bi-level CMYK data via the printhead interface which controls the Memjet printhead 112.

The main processor 138 streams compressed page images from the hard disk 50 to the print engine controllers 148 at the required 120 ppm rate (i.e. 4.6MB/s on average, or 10.6MB/s worst-case).

10

	input	input	output	output	input	output
process	format	window	format	window	rate	rate
receive	-	-	JPEG	1	-	3.8MB/s
contone			stream			(10Mp/s)
receive	-	-	G4Fax	1	_	1.5MB/s
bi-level			stream			(250Mp/s)
expand	JPEG	-	32-bit	8	3.8MB/s	38MB/s
contone	stream		CMYK		(10Mp/s)	(10Mp/s)
expand	G4Fax	-	1-bit K	1	1.5MB/s	30MB/s
bi-level	stream				(250Mp/s)	(250Mp/s)
dither	32-bit	1	_a	-	38MB/s	-
	CMYK				(10Mp/s <sup>b</sup> )	
composite	1-bit K	1	4-bit	1	30MB/s	120MB/s
			CMYK		(250Mp/s)	(250Mp/s)
print	4-bit	24, 1 <sup>c</sup>	-	-	120MB/s	-
	CMYK				(250Mp/s)	-
					193MB/s	193MB/s
						387MB/s

a dither combines with composite, so there is no external data flow between them

<sup>320</sup> ppi  $\Rightarrow$  1600 dpi (5 x 5 expansion)

Needs a window of 24 lines, but only advances 1 line

The print engine data flow is summarized in Table 1. The aggregate traffic to/from memory is 387MB/s, all but 5.3MB/s of which relates to the FIFOs.

Each stage communicates with the next via a FIFO. Each FIFO is organized into lines, and the minimum size (in lines) of each FIFO is designed to accommodate the output window (in lines) of the producer and the input window (in lines) of the consumer. The inter-stage memory FIFOs are described in Table 2.

FIFO	format and line size	number of lines	FIFO size	
contone CMYK	32-bit interleaved CMYK	8 x 2 = 16	240KB	
	(320 ppi x 11.7" x 32 = 15.0KB)			
bi-level K	1-bit K	1 x 2 = 2	5KB	
	(1600 dpi x 11.7" x 1 = 2.3B)			
bi-level CMYK	4-bit planar odd/even CMYK	24 + 1 = 25	229KB	
	(1600 dpi x 11.7" x 4 = 9.1KB)			
<u></u>		· · · · · · · · · · · · · · · · · · ·	474KB	

Because the two printheads 112 of each redundant printhead pair are separated by about 8mm on the transfer roller (or about 500 printed lines at 1600 dpi), an additional 500 lines of bi-level CMYK must be buffered between the ditherer/compositor unit 176 and the printhead interface 178. This in turn translates to about 4.5MB of additional FIFO memory, or about 5MB of FIFO memory in total.

The need for this additional FIFO memory can be eliminated by expanding each page image twice in parallel, i.e. once each for the two printheads 112 of each redundant printhead pair, staggered to match the physical separation of the printheads. This is most easily done by replicating the print engine controller 148 for each printhead 112. Replication is particularly relevant in the

case of the pipelined (as opposed to shared-memory) print engine controller 148

20

15

described below, where the provision of 5MB of on-chip FIFO memory is impractical.

It is also possible to run each print engine controller 148 at twice the rate so that it can expand each page image twice in the time it takes to print the page image once.

Whenever each page image is expanded twice in parallel, FIFO memory must be doubled to about 1MB.

## 5.2 Print Engine Controller Architecture

The print engine controller 148 is implemented as a single custom chip.

10

5

There are two architectural variants of the print engine controller 148. The shared-memory version, illustrated in Figure 12, uses a local off-chip RDRAM 156 to support the aggregate memory bandwidth required by page expansion and printing. The pipelined version, illustrated in Figure 13, uses dedicated on-chip FIFOs 158, 159, 160.

15

The shared-memory print engine controller 148 consists of a general-purpose processor 162, a high-speed Rambus interface 164 to the off-chip RDRAM 156, a small program ROM 166, a DMA controller 168, and an interface 170 to the printer controller bus 150.

20

Both print engine controllers' page expansion and printing pipeline consists of a standard JPEG decoder 172, a standard Group 4 Fax decoder 174, a custom ditherer/compositor unit 176, and a custom interface 178 to the Memjet printheads 112.

25

The ditherer/compositor unit 176 and the printhead interface 178 are described in greater detail in co-pending US patent application 09/436,744 which is incorporated herein by reference.

In the shared-memory version, the FIFOs are located in the dedicated offchip RDRAM 156, and all inter-stage communication is controlled by the local

10

15

20

25

processor via the DMA controller 168. In the pipelined version, the FIFOs 158, 159, 160 are on-chip, and the stages are self-synchronizing.

In the shared-memory version, the decoders 172, 174 obtain page data from the main processor 138 via the local memory. In the pipelined version, the decoders 172, 174 obtain page data directly from the main processor 138 over the printer controller bus 150.

When several print engine controllers 148 are used in unison, such as in a duplexed configuration, they are synchronized via a shared line sync signal on line 180. Only one print engine controller 148, selected via an external master/slave pin 182, generates the line sync signal onto the shared line 180.

## 5.3 Printhead Timing

Each print engine controller 148 prints an A4/Letter page in one second. Since S-print 10 uses a 12" printhead 112 to print the long dimension of the page (11.7"), the short dimension of the page (8.5") needs to pass the printhead 112 in one second. At 1600 dpi, this equates to a 13.6KHz line rate. This is well within the operating frequency of the Memjet printhead 112, which in the current design exceeds 30KHz.

## 5.4 Printhead Characterization

Each redundant 12" print engine 26 contains two complete 12" printheads 112, i.e. 76,800 nozzle pairs, characterized and matched so that no paired nozzles are both defective.

Printhead defects are either characterized and matched one segment at a time, or after the entire printhead has been built. In the former case nozzles are tested before integration with the ink path, and so are tested without ink. In the latter case nozzles are tested after integration with the ink path, and so are tested with ink. Segment-wise characterization gives a higher yield, but at a higher testing cost. Segment-wise characterization is therefore only preferable to printhead-wise characterization when defect densities are still high.

10

15

The defect list associated with a redundant printhead is stored in the manufacturing database, indexed by the printhead's serial number and recorded as a barcode on its cartridge. When the printhead cartridge is finally inserted into a printer during manufacture, the defect list is retrieved using the barcode, and is written to the flash memory of the printer's embedded printer controller.

If the printhead cartridge is replaced in the field, then a new defect list is downloaded remotely from the manufacturing database to the printer controller via its network interface, using the new printhead cartridge's barcode.

The defect list associated with each redundant printhead pair is copied from the printer controller's flash memory 154 to the corresponding print engine controller 148 at boot time. During printing, each print engine controller 148 consults its defect list to determine which nozzle of each nozzle pair to direct data to. When one nozzle of a nozzle pair is defective, the print engine controller 148 directs data to the other nozzle. Printhead characterization and matching ensures that the two nozzles of a nozzle pair are never both defective.

10

15

20

25

### WE CLAIM:-

1. A printer controller for an inkjet printer, the controller including:
a processing means for receiving incoming data relating to a description of
a page to be printed, said descriptions containing color data and black text data;

a memory means in which the data are stored:

a rasterizing and compressing means for rasterizing and compressing the data, the compression of the color data and the black text data being effected separately from each other; and

a printhead controller for receiving, decompressing and processing said data for printing via a printhead under control of the printhead controller.

- 2. The printer controller as claimed in claim 1 in which the rasterizing and compressing means includes at least one raster image processor (RIP) digital signal processor (DSP).
- 3. The printer controller as claimed in claim 2 in which the, or each, RIP DSP communicates with the processing means via a data communications means, the processing means feeding and synchronizing the, or each, RIP DSP, the rasterizing and compressing means, and the printhead controller.
- 4. The printer controller as claimed in claim 3 in which the, or each, RIP DSP communicates with the memory mans via the processing means and the data communications means for storing rasterized and compressed data in the memory means.
- 5. The printer controller as claimed in claim 1 in which the memory means is a hard disk which communicates with the processing means via a disk controller and a data communications means.
- 6. In an inkjet printer controller, a method of printing a description of a page containing color data and black text data, the method including the steps of: receiving said data relating to a description of a page to be printed from a host processor;

storing the received data in a memory means;

10

15

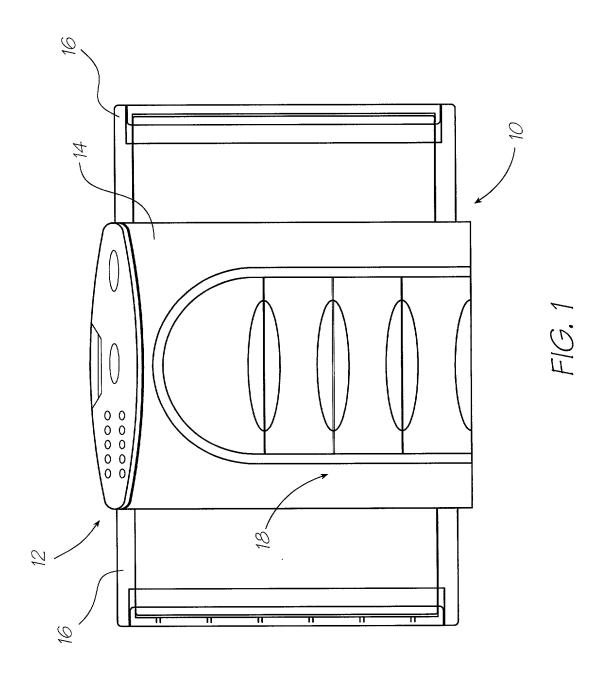
rasterizing and compressing the received data to create a compressed page format, the compression of the color data and the black text data being effected separately from each other;

feeding the compressed page format data to a printhead controller; and expanding the compressed page format data in the printhead controller prior to printing of the image.

- 7. The method as claimed in claim 6 which includes, prior to feeding the compressed page format data to the printhead controller, storing the compressed page format data in the memory means.
- 8. The method as claimed in claim 6 which includes, as the data are expanded, feeding the expanded data to at least one printhead controlled by the printhead controller.
- 9. The method as claimed in claim 8 which includes, when the printhead controller receives the compressed page format data, storing the data in a local memory means of the printhead controller.

## **ABSTRACT**

An inkjet printer controller includes a processor for receiving incoming data relating to a description of a page to be printed. A memory in the form of a hard disk, in which the data are stored, is connected to the processor. The controller includes at least one raster image processor for rasterizing and compressing the data. A printhead controller receives, decompresses and processes the data for printing via a printhead under control of the printhead controller.



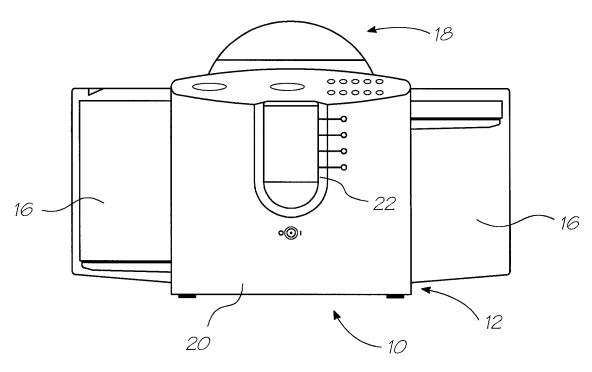


FIG. 2

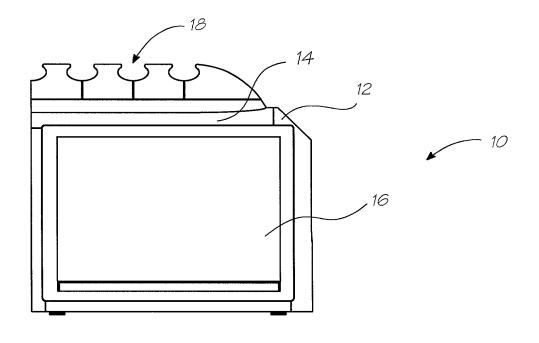
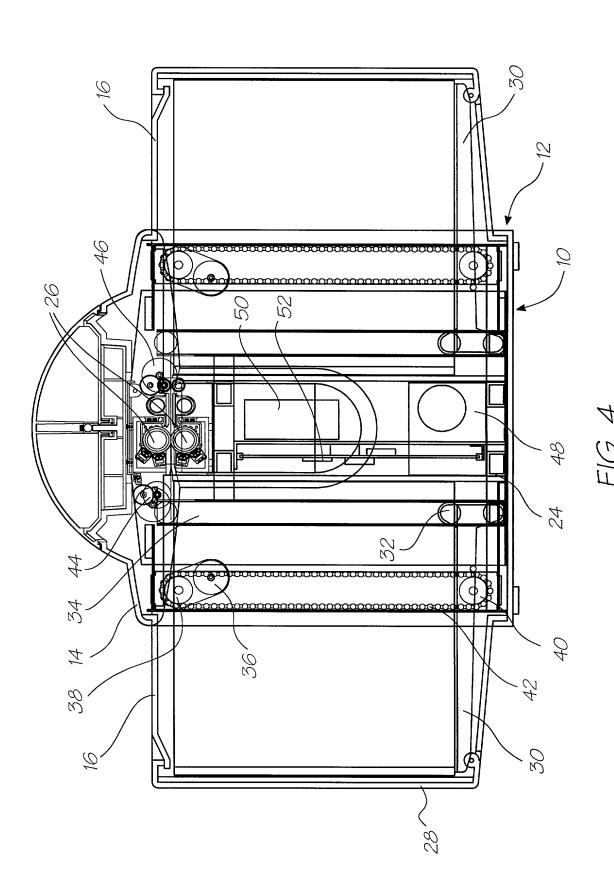
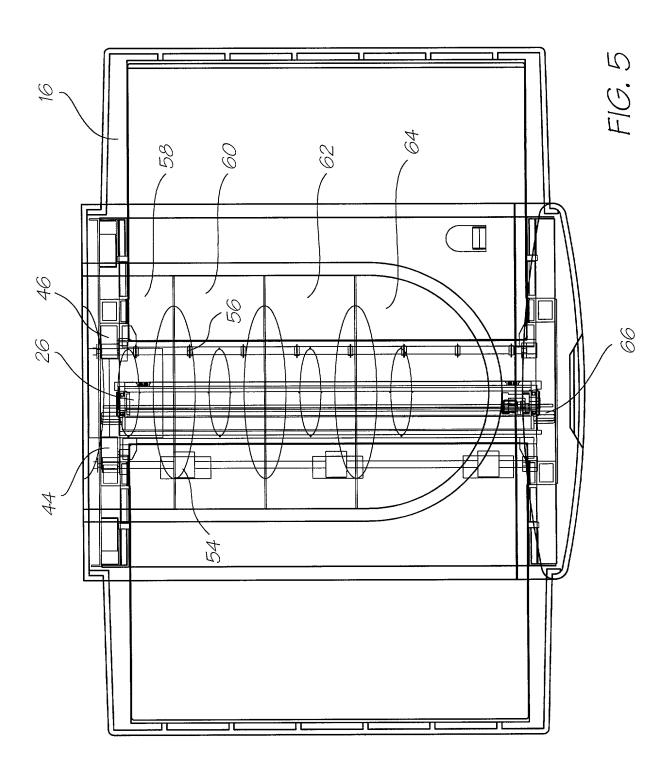


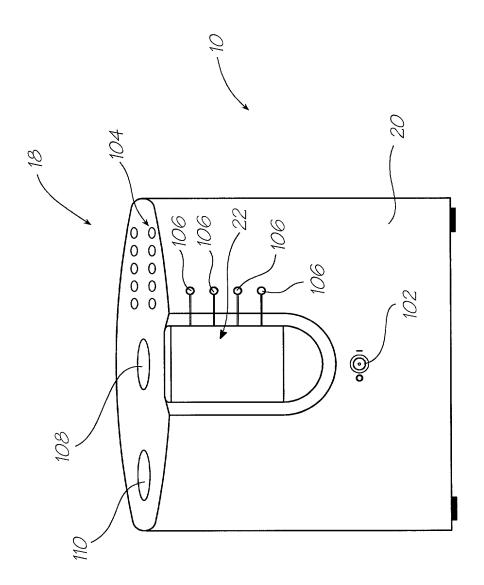
FIG. 3



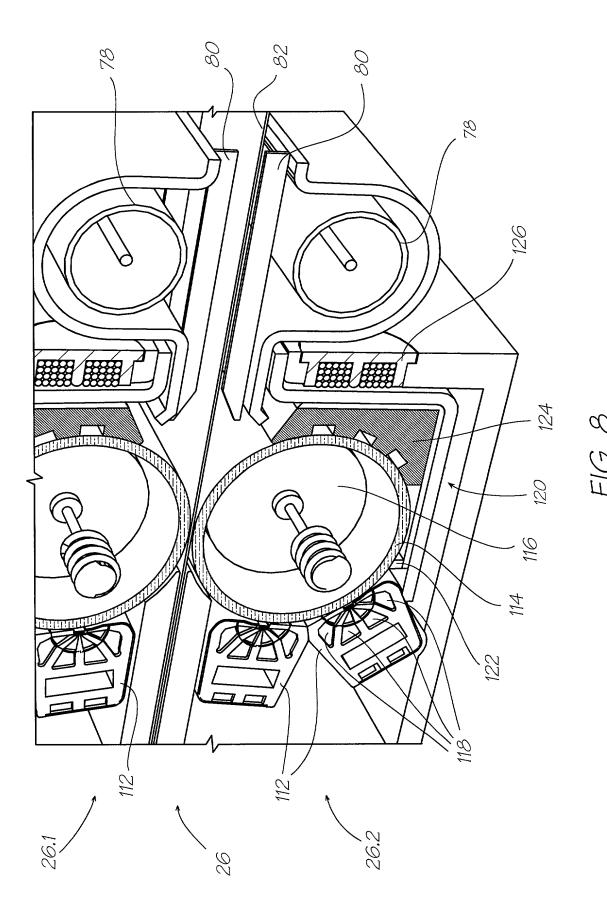
3/12



F1G. 6



F1G. 7



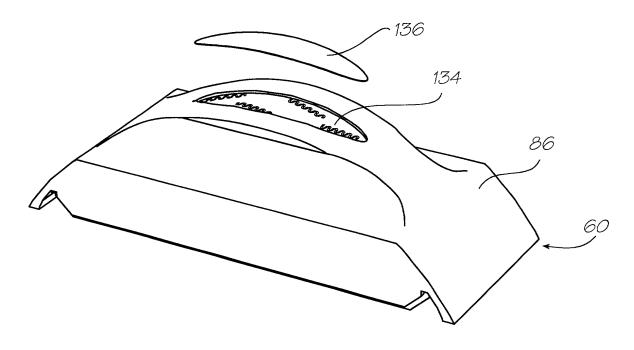


FIG. 9a

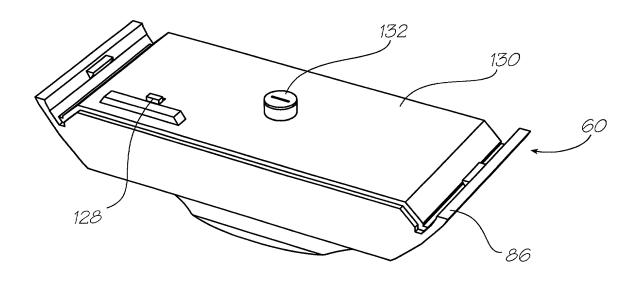


FIG. 9b

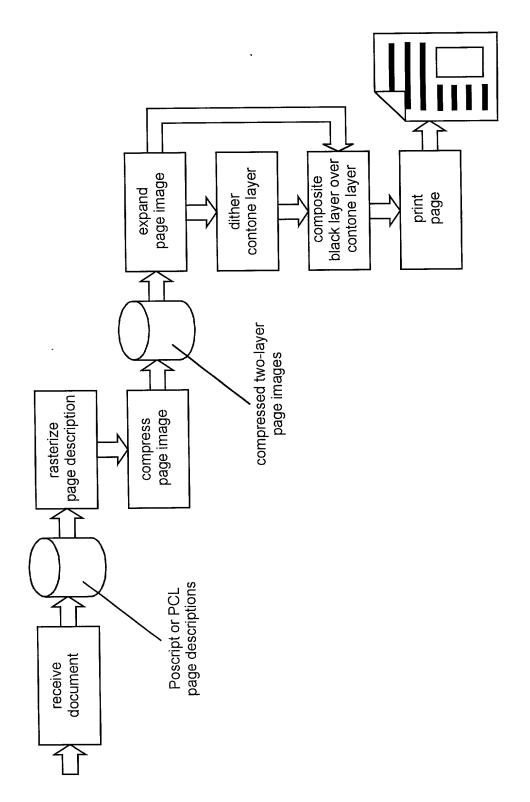
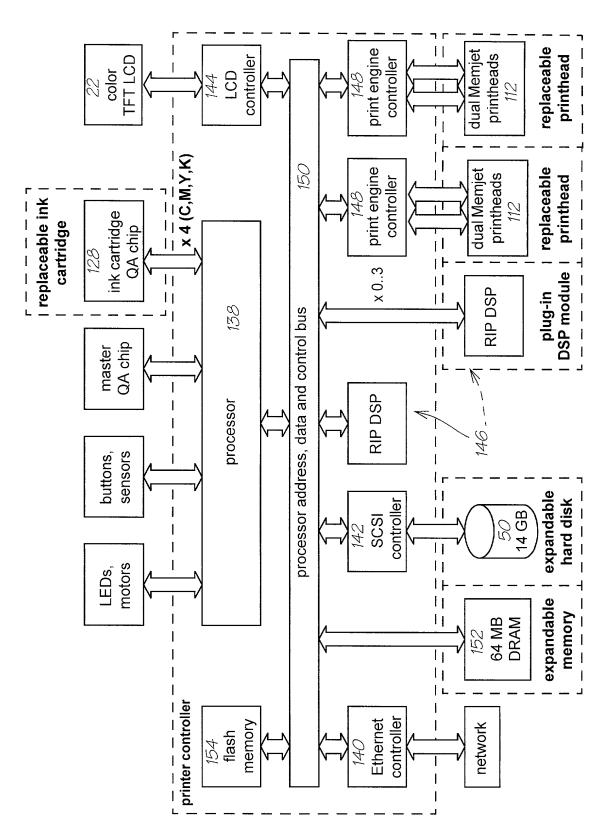
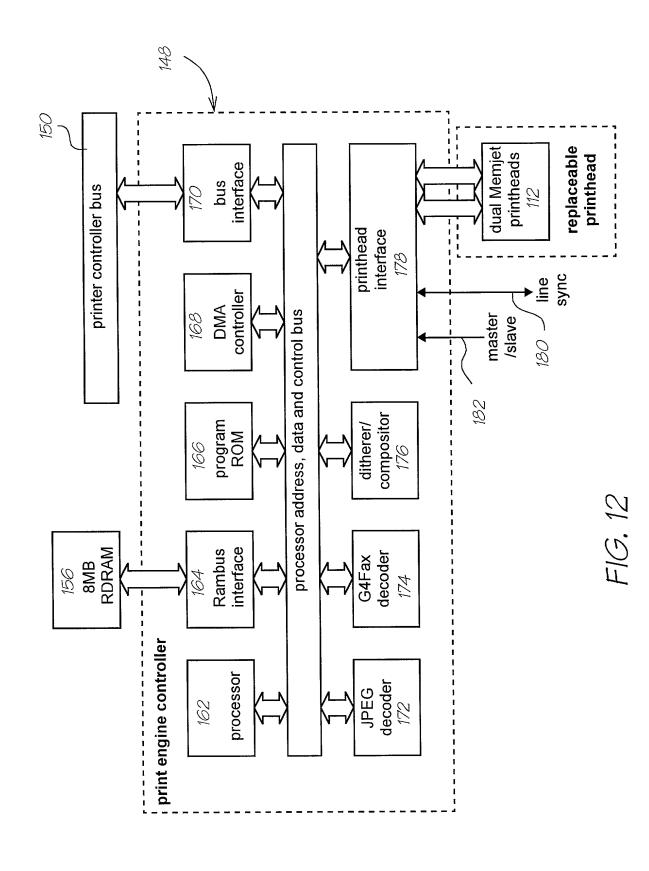


FIG. 10



F1G. 11



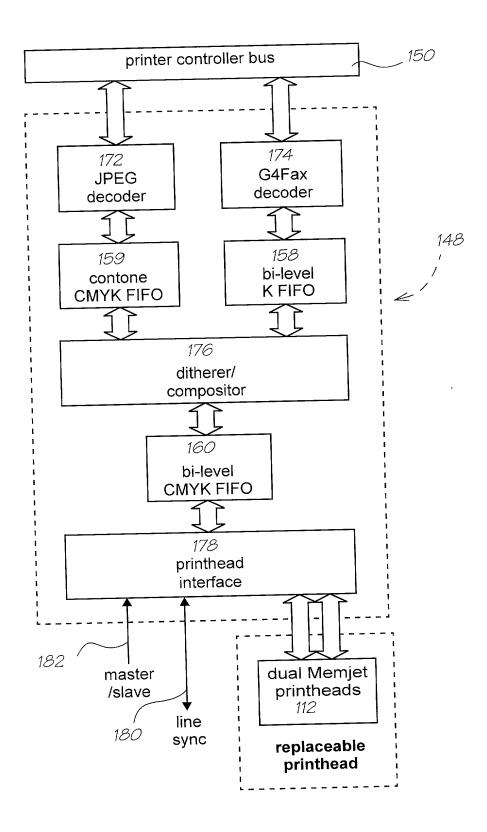


FIG. 13

Please type a plus sign (+) inside this box	$\rightarrow \Box$
---	--------------------

PTO/SB/01 (12-97)

Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

Approved for use through 9/30/00 OMB 0651-0032
Patent and Trademark Office, U S DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

a valid CIVID Control Hair	1001								
DECLADATION	FOR UTILITY OF	Attorney Dock	et Number	SP05US					
	FOR UTILITY OF SIGN	First Named In	ventor	Paul Lapstun					
	PPLICATION	C	COMPLETE IF KNOWN						
(37 CI	FR 1.63)	Application Nur	mber	/					
₩ Dealerston		Filing Date							
<ul><li>☑ Declaration</li><li>Submitted</li><li>OR</li></ul>	■ Declaration Submitted after Initia	al Group Art Unit							
with Initial Filing	Filing (surcharge (37 CFR 1.16 (e)) required)	Examiner Nam	е						
As a below named inve	ntor, I hereby declare that:								
My residence, post office	address, and citizenship are a	as stated below next to m	y name						
	I, first and sole inventor (if only of the subject matter which is c			first and joint inventor (if plural					
			atent is sought t	on the invention entitled.					
A Prir	nter Controller for a Hi	gh-Speed Printer	-Speed Printer						
the specification of which	(1/116	of the Invention)							
OR									
was filed on (MM/	DD/YYYY)	as Unit	ed States Applic	cation Number or PCT International					
Application Number		s amended on (MM/DD/)	· —	(if applicable).					
I hereby state that I have amended by any amendm	reviewed and understand the c nent specifically referred to abo	ontents of the above ider ve	ntified specificat	ion, including the claims, as					
I acknowledge the duty to	disclose information which is n	naterial to patentability as	defined in 37 (	CFR 1 56					
I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.									
Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES NO					
PP9960	Australia	04/23/1999							
Additional foreign applie	cation numbers are listed on a	supplemental priority data	a sheet PTO/SB	3/02B attached hereto					
I hereby claim the benefit	under 35 U S C 119(e) of any								
Application Numbe	r(s) Filing Date	(MM/DD/YYYY)							

[Page 1 of 2]
Burden Hour Statement This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents, Washington, DC 20231



Please type a plus sign (+) inside this box	+	
. reace type a place e.g., ( ) melae tille bex		

PTO/SB/01 (12-97)
us sign (+) inside this box + + Approved for use through 9/30/00 OMB 0651-0032
Patent and Trademark Office; U.S DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

# **DECLARATION** — Utility or Design Patent Application

United States United States Information wh	of Americ or PCT In lich is ma	fit under 35 U.S.C ca, listed below a ternational applica terial to patentab international filing	nd, insation in ation in ality as	ofar as the ma defined	the sub Inner pro I in 37 (	oject matte ovided by t CFR 1 56 v	r of ea	ach of t	he cla	aims of th	is annlic	∙ation is	anot disclosed	I in the prior
U.	U.S. Parent Application or PCT Parent Number					Parent Filing Date (MM/DD/YYYY)				Parent Patent Number (if applicable)				
☐ Additional	U.S. or F	PCT international a	applica	tion nur	nbers a	re listed or	a sup	plemen	tal pr	ority data	sheet P	TO/SB/	02B attached I	nereto.
		ereby appoint the					s) to p	rosecut	e this	applicatio	n and to	o transa		
and Trademark	Office co	onnected therewith	, $\square$	Custon OR	ner Num	iber					$\longrightarrow$	▶	Place Cust Number Bar	
					ered pra	ctitioner(s	name	/registra	ation	number lis	ted belo	w L	Label he	
	Nam	e				tration nber				Nam	ie			stration Imber
Additional	registere	d practitioner(s) na	amed o	n suppl	ementa	l Registere	d Prac	titioner	Infor	mation she	et PTO	/SB/020	C attached her	eto.
Direct all corr	espond			er Nui Code L			OR X Correspondence address below							
Name	Kia S	Silverbrook					1							
Address	Silve	rbrook Rese	arch	Pty L	.td									
Address	393	Darling Stree	et											
City	Balm	ain		_			s	State 11011 Zip -			204	)41		
Country	Aust	ralia		Te	lepho	ne 61-2	2-9818-6633 Fax 61-2-981				2-9818-67	11		
believed to be punishable by	true; and	Il statements mad d further that thes nprisonment, or b t issued thereon.	se state	ements	were n	nade with	the kn	owledge	e that	t willful fal	se state	ements	and the like s	o made are
Name of Se	ole or F	irst Inventor						A petit	ion h	as been	filed fo	r this u	ınsigned inve	entor
G	ven Nar	me (first and mid	ddle [if	anyl)			Family Name or Surname							
	Pau	I								Laps				
Inventor's Signature			ه		e	Λ	Date   14 Feb   12000							
Residence: 0	City	Rodd Point			State	NSW	Country Australia Citizenship gian							
Post Office A	ddress	13 Duke Av	/enue	e										
Post Office A	ddress													
City		Rodd Point	State	NSV	Ν	ZII	. :	2046			Cou	Country Australia		а
☑ Additional	invento	rs are being na	med o	n the	su	pplemen	al Ad	ditiona	I Inve	entor(s) s	sheet(s	) PTO/	SB/02A attac	ched hereto

Docket Number: SP05US

game acting pours print grown group, game acting a train and a train and a train and a train and a train a train and a train and a train a tra

Please type a plus sign (+) inside this box   Under the Paperwork Reduction Activated OMB control number	PTO/SB/02A (3-97) Approved for use through 9/30/98 OMB 0651-0032 Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE of 1995, no persons are required to respond to a collection of information unless it contains a	
Valid OMB control number		

#### ADDITIONAL INVENTOR(S) Supplemental Sheet Page 1\_ of 1\_ DECLARATION Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor Given Name (first and middle [if any]) Family Name or Surname Kia Silverbrook 14 Februar Inventor's Signature 2000 Date Australian Balmain NSW Australia Residence: City Citizenship 393 Darling Street Post Office Address Post Office Address NSW Australia Balmain 2041 City Country State Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor Given Name (first and middle [if any]) Family Name or Surname Inventor's Signature Date Country Citizenship State Residence: City Post Office Address Post Office Address City State ZIP Country Name of Additional Joint Inventor, if any: A petition has been filed for this unsigned inventor Family Name or Surname Given Name (first and middle [if any]) Signature Date Citizenship Residence: City Post Office Address Post Office Address Country State ZIP

Burden Hour Statement This form is estimated to take 0.4 hours to complete Time will vary depending upon the needs of the individual case. An office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO Assistant Commissioner for Patents, Washington, DC 20231.